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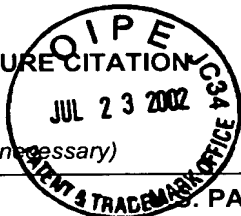
038602-1329

SERIAL NO.

10/081,147

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



APPLICANT

Pen Cho TANG et al.

FILING DATE

02/25/2002

GROUP ART UNIT

1626

## PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
<i>SNW</i>	A1	3,308,134	03/07/67	PLOSTNIEKS			
	A2	4,002,749	01/11/77	ROVNYAK			
<i>SNW</i>	A3	4,053,613	10/11/77	ROVNYAK et al.			
	A4	4,900,849	10/30/90	VALLEE et al.			
<i>SNW</i>	A5	5,217,999	06/08/93	LEVITZKI et al.			
<i>SNW</i>	A6	5,302,606	04/12/94	SPADA et al.			
<i>SNW</i>	A7	5,330,992	07/19/94	EISSENSTAT et al.			
	A8	5,710,135	02/17/98	BUZZETTI et al.			
	A9	5,786,488	07/28/98	TANG			
	A10	5,792,700	08/11/98	TANG et al.			
	A11	5,840,745	11/24/98	BUZZETTI et al.			
	A12	5,880,141	03/09/99	TANG			
	A13	5,883,113	03/16/99	TANG			
	A14	5,883,116	03/16/99	TANG			
	A15	5,886,020	03/23/99	TANG			
<i>SNW</i>	A16	Re 36,256	07/20/99	SPADA et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
	A17	91/13055	09/05/91	WIPO				
<i>SNW</i>	A18	91/15495	10/17/91	WIPO				
	A19	92/07830	05/14/92	WIPO				
<i>SNW</i>	A20	92/20642	11/26/92	WIPO				
<i>SNW</i>	A21	92/21660	12/10/92	WIPO				
	A22	93/23040	11/25/93	WIPO				
<i>SNW</i>	A23	94/03427	02/17/94	WIPO				
	A24	94/10202	05/11/94	WIPO				
<i>SNW</i>	A25	94/14808	07/07/94	WIPO				
	A26	95/24190	09/14/95	WIPO				
	A27	96/00226	01/04/96	WIPO				
	A28	96/16964	06/06/96	WIPO				
<i>SNW</i>	A29	96/40116	12/19/96	WIPO				

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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				APPLICANT Pen Cho TANG et al.			
				FILING DATE 02/25/2002		GROUP ART UNIT 1626	
SNW	A30	98/07695	02/26/98	WIPO			
	A31	98/07835	02/26/98	WIPO			
SNW	A32	98/24432	06/11/98	WIPO			
SNW	A33	98/38984	09/11/98	WIPO			
	A34	98/45700	10/15/98	WIPO			
	A35	98/50356	11/12/98	WIPO			
	A36	98/56376	12/17/98	WIPO			
	A37	98/10325	04/09/99	WIPO			
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	A40	0 252 731 A1	01/13/00	EP			
SNW	A41	0 566 226 A1	10/20/93	EP			
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
SNW	A42	AKBASAK et al., "Oncogenes: cause or consequence in the development of glial tumors," <u>J. Neurol. Sci.</u> 111:119-133 (1992)					
	A43	<del>ANDREANI et al., "In Vivo Cardiotoxic Activity of Pyridylmethylene-2-indolinones," <u>Arzneimittel-Forschung Drug Research</u> 48(III):727-729 (1998)</del>					
	A44	<del>ANDREANI et al., "Synthesis and potential coanthracycline activity of substituted 3-(5-imidazo[2,1-b]thiazolylmethylene)-2-indolinones," <u>Eur. J. Med. Chem.</u> 32:919-924 (1997)</del>					
SNW	A45	ARTEAGA et al., "Blockade of the Type I Somatomedin Receptor Inhibits Growth of Human Breast Cancer Cells in Athymic Mice," <u>J. Clin. Invest.</u> 84:1418-1423 (1989)					
SNW	A46	ARVIDSSON et al., "Tyr-716 in the Platelet-Derived Growth Factor $\beta$ -Receptor Kinase Insert is Involved in GRB2 Binding and Ras Activation," <u>Molecular and Cellular Biology</u> 14:6715-6726					
SNW	A47	BASERGA, "Oncogenes and the Strategy of Growth Factors," <u>Cell</u> 79:927-930 (1994)					
SNW	A48	BASERGA, "The Insulin-like Growth Factor I Receptor: A Key to Tumor Growth?" <u>Cancer Research</u> 55:249-252 (1995)					
SNW	A49	BOLEN et al., "The Src family of tyrosine protein kinases in hemopoietic signal transduction," <u>FASEB J.</u> 6:3403-3409 (1992)					
SNW	A50	BOLEN, "Nonreceptor tyrosine protein kinases," <u>Oncogene</u> 8:2025-2031 (1993)					
SNW	A51	BONNER et al., "Structure and Biological Activity of Human Homologs of the <i>raf/mil</i> Oncogene," <u>Molecular and Cellular Biology</u> 5:1400-1407 (1985)					

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A52	<del>BUZZETTI et al., "Cinnamamide Analogs as Inhibitors of Protein Tyrosine Kinases," <u>Il Farmaco</u> 48(5):645-650 (1993)</del>		
A53	CANCE et al., "Novel Protein Kinases Expressed in Human Breast Cancer," <u>Int. J. Cancer</u> 54:571-577 (1993)		
A54	<del>CARPENEDO et al., "Identification and Measurement of Oxindole (2-Indolinone) in the Mammalian Brain and Other Rat Organs," <u>Analytical Biochemistry</u> 244:74-79 (1997)</del>		
A55	<del>CHEN et al., "Effects of 3,3-Dipyridylmethyl-1-Phenyl-2-Indolinone on <math>\gamma</math>-Aminobutyric Acid-Elicited Chloride Current of Snail Central Neuron," <u>Chinese Journal of Physiology</u> 40(3):149-156 (1997)</del>		
A56	CLAESSON-WELSH, "Signal Transduction by the PDGF Receptors," <u>Progress in Growth Factor Research</u> 5:37-54 (1994)		
A57	COPPOLA et al., "A Functional Insulin-Like Growth Factor I Receptor is Required for the Mitogenic and Transforming Activities of the Epidermal Growth Factor Receptor," <u>Molecular and Cellular Biology</u> , 14:4588-4595 (1994)		
A58	<del>DAMIANI et al., "Inhibition of Copper-Mediated Low-Density Lipoprotein Peroxidation by Quinoline and Indolinone Nitroxide Radicals," <u>Biochemical Pharmacology</u> 48(6):1455-1464 (1994)</del>		
A59	<del>Database CAPLUS, An 1998: 151222, abstract for MOHAMMADI et al., Crystal structures of a protein tyrosine kinase, WO 98/07835 (February 26, 1998)</del>		
A60	<del>Database CAPLUS on STN, AN 1998: 147306, abstract for TANG et al., Indolinone combinatorial libraries and related products and methods for the treatment of disease, WO 98/07695 (February 26, 1998)</del>		
A61	<del>Database CAPLUS on STN, AN 1997: 140244, abstract for Tang et al., Indolinone compounds capable of modulating tyrosine kinase signal transduction, WO 96/40146 (December 19, 1996)</del>		
A62	<del>DAVIS et al., "Synthesis and Microbiological Properties of 3-Amino-1-Hydroxy-2-Indolinone and Related Compounds," <u>Journal of Medicinal Chemistry</u> 16(9):1043-1045 (1973)</del>		
A63	DeVRIES et al., "The <i>fms</i> -Like Tyrosine Kinase, a Receptor for Vascular Endothelial Growth Factor," <u>Science</u> 255:989-991 (1992)		
A64	DECKER et al., "A quick and simple method for the quantitation of lactate dehydrogenase release in measurements of cellular cytotoxicity and tumor necrosis factor (TNF) activity," <u>Journal of Immunological Methods</u> 15:61-69 (1988)		
A65	DICKSON et al., "Tyrosine kinase receptor—nuclear protooncogene interactions in breast cancer," <u>Cancer Treatment Res.</u> 61:249-273 (1992)		
A66	<del>EUROPEAN SEARCH REPORT of 99/027420 (Supplementary)</del>		
A67	FANTL et al., "Distinct Phosphotyrosines on a Growth Factor Receptor Bind to Specific Molecules That Mediate Different Signaling Pathways," <u>Cell</u> 69:413-423 (1992)		
A68	<del>FENDLY et al., "Characterization of Murine Monoclonal Antibodies Reactive to Either the Human Epidermal Growth Factor Receptor or HER2 neu Gene Product," <u>Cancer Research</u> 50:4550-4556 (1990) (this entry referred to as Fendley)</del>		

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ANW	A69	FERRARA et al., "Pituitary Follin-like Cells Secrete a Novel Heparin-Binding Growth Factor Specific for Vascular Endothelial Cells," <u>Biochemical and Biophysical Research Communications</u> 161:851-858 (1989)					
ANW	A70	FINGL et al., "Chapter 1 - General Principles," in <u>The Pharmacological Basis of Therapeutics</u> 5 <sup>th</sup> Edition, Goodman and Gilman editors, MacMillan Publishing Co., Inc., New York, pp. 1-46 (1975)					
	A71	<del>FLOEGE et al., "Factors involved in the regulation of mesangial cell proliferation in vitro and in vivo," <u>Kidney International</u> 43:647-654 (1993)</del>					
ANW	A72	FOLKMAN et al., "Angiogenesis," <u>J. Biol. Chem.</u> 267:10931-10934 (1992)					
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ANW	A74	FOLKMAN, "Tumor Angiogenesis, Therapeutic Implications," <u>New England J. Medicine</u> 285:1182-1186 (1971)					
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ANW	A78	GOLDRING et al., "Cytokines and Cell Growth Control," <u>Critical Reviews in Eukaryotic Gene Expression</u> 1:301-326 (1991)					
	A79	<del>GRAZIANI et al., "Hepatocyte Growth Factor/Scatter Factor Stimulates the Ras-Guanine Nucleotide-Exchanger," <u>The Journal of Biological Chemistry</u> 268(19):9165-9168 (1993)</del>					
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ANW	A81	HOUCK et al., "Dual Regulation of Vascular Endothelial Growth Factor Bioavailability by Genetic and Proteolytic Mechanisms," <u>J. Biol. Chem.</u> 267:26031-26037 (1992)					
ANW	A82	HU et al., "Interaction of Phosphatidylinositol 3-Kinase-Associated p85 with Epidermal Growth Factor and Platelet-Derived Growth Factor Receptors," <u>Molecular and Cellular Biology</u> 12(3):981-990 (1992)					
ANW	A83	JELLINEK et al., "Inhibition of Receptor Binding by High-Affinity RNA Ligands to Vascular Endothelial Growth Factor," <u>Biochemistry</u> 33:10450-10456 (1994)					
ANW	A84	KASHISHIAN et al., "Phosphorylation Sites at the C-terminus of the Platelet-Derived Growth Factor Receptor Bind Phospholipase Cy1," <u>Molecular Biology of the Cell</u> 4:49-57 (1993)					
ANW	A85	KASHISHIAN et al., "Phosphorylation Sites in the PDGF receptor with different specificities for binding GAP and PI3 kinase in vivo," <u>The EMBO Journal</u> 11(4):1373-1382 (1992)					

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	A86	<del>KATO et al., "Simultaneous Determination of Amfenac Sodium and its Metabolite (7-Benzoyl-2-Oxindole) in Human Plasma by High-Performance Liquid Chromatography," <u>Journal of Chromatography</u> 616:67-74 (1993)</del>	
ANW	A87	KAZLAUSKAS et al., "The 64-kDa protein that associates with the platelet-derived growth factor receptor $\beta$ subunit via Tyr-1009 is the SH2-containing phosphotyrosine phosphatase Syp," <u>Proc. Natl. Acad. Sci. USA</u> 90:6939-6942 (1993)	
ANW	A88	KENDALL and THOMAS, "Inhibition of vascular endothelial cell growth factor activity by an endogenously encoded soluble receptor" <u>Proc. Natl. Acad. Sci. USA</u> 90:10705-10709 (1993)	
ANW	A89	KIM et al., "Inhibition of vascular endothelial growth factor-induced angiogenesis suppresses tumour growth <i>in vivo</i> ," <u>Nature</u> 362:841-844 (1993)	
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ANW	A91	KLAGSBRUN and SOKER, "VEGF/VF: the angiogenesis factor found" <u>Current Biology</u> 3:699-702 (1993)	
ANW	A92	KOCH et al., "SH2 and SH3 Domains: Elements That Control Interactions of Cytoplasmic Signaling Proteins," <u>Science</u> 252:668-674 (1991)	
ANW	A93	KOMADA and KITAMURA, "The cell dissociation and motility triggered by scatter factor/hepatocyte growth factor are mediated through the cytoplasmic domain of the c-Met receptor" <u>Oncogene</u> 8:2381-2390 (1993)	
ANW	A94	KORC et al., "Overexpression of the Epidermal Growth Factor Receptor in Human Pancreatic Cancer is Associated with Concomitant Increases in the Levels of Epidermal Growth Factor and Transforming Growth Factor Alpha," <u>J. Clin. Invest.</u> 90:1352-1360 (1992)	
ANW	A95	KORAENIEWSKI and CALLEWAERT, "An Enzyme-Release Assay for Natural Cytotoxicity <sup>1</sup> ," <u>J. Immunol Methods</u> 64:313-320 (1983)	
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	A98	<del>LEVITZKI et al., "Tyrosine kinase inhibition: An approach to drug development," <u>Science</u> 267:1762-1768 (1995)</del>	
	A99	<del>MAAGS et al., "Viral Resistance to the Thiazolo-Iso-Indolinones, a New Class of Nonnucleoside Inhibitors of Human Immunodeficiency Virus Type 1 Reverse Transcriptase," <u>Antimicrobial Agents and Chemotherapy</u> 37(12):2612-2617 (1993)</del>	
ANW	A100	MACAULEY et al., "Autocrine function for insulin-like growth factor I in human small cell lung cancer cell lines and fresh tumor cells," <u>Cancer Research</u> 50:2511-2517 (1990)	
	A101	<del>MARIANI et al., "Inhibition of angiogenesis by PCE 20000, a potent tyrosine kinase inhibitor," <u>Experimental Therapeutics - Proceedings of the American Association for Cancer Research</u> 35:384 at abstract no. 2268 (March 1994)</del>	
ANW	A102	MOHAMMADI et al., "Structures of the Tyrosine Kinase Domain of Fibroblast Growth Factor Receptor in Complex with Inhibitors," <u>Science</u> 276:955-960 (May 1997)	

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ANW	A114	SCHLESSINGER and ULLRICH, "Growth Factor Signalling by Receptor Tyrosine Kinases," <u>Neuron</u> 9:383-391 (1992)					
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	A117	<del>SINGH et al., "Indolinone Derivatives as Potential Antimicrobial Agents," <u>Zentralbl. Mikrobiol.</u> 144:105-109 (1989)</del>					
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<b>INFORMATION DISCLOSURE NOTIFICATION</b> (Use several sheets if necessary)		APPLICANT Pen Cho TANG et al.	
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	A120	<del>SOLDI et al., "Platelet-derived growth factor (PDGF) induces the Early Tyrosine Phosphorylation of Focal Adhesion Kinase (p125<sup>FAK</sup>) in Human Endothelial Cells," <u>Oncogene</u> 13(3):615-625 (1996)</del>	
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ANW	A129	Torp et al., "Expression of the Epidermal Growth Factor Receptor Gene in Human Brain Metastases," <u>AMJIS</u> 100:713-719 (1992)	
	A130	<del>TRAXLER, "Protein tyrosine kinase inhibitors in cancer treatment," <u>Expert Opinion on Therapeutic Patents</u> 7(6):571-588 (1997)</del>	
	A131	<del>TSAL et al., "The Effect of 3,3-Di-Pyridyl Methyl 1-Phenyl-2-Indolinone on the Nerve Terminal Currents of Mouse Skeletal Muscles," <u>Neuropharmacology</u> 31(9):943-947 (1992)</del>	
ANW	A132	TUZI et al., "Expression of growth factor receptors in human brain tumours," <u>Br. J. Cancer</u> 63:227-233 (1991)	
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ANW	A134	VAISMAN et al., "Characterization of the Receptors for Vascular Endothelial Growth Factor," <u>J. Biol. Chem</u> 265:19461-19466 (1990)	
	A135	<del>VARMA et al., "Nucleophilic Reactions of 2-Methyl-3-(4'-carboxyethoxyphenyl)-4-quinazolinones with 2-Indolinones," <u>J. Indian Chem. Soc.</u> 66:804-805 (1989)</del>	
ANW	A136	Voller et al., "Ch. 45 - Enzyme-Linked Immunosorbent Assay," in <u>Manual of Clinical Immunology</u> , 2 <sup>nd</sup> edition, Rose and Friedman editors, American Society of Microbiology, Washington, D.C., pp. 269-277 (1980)	

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	A137	WALKER, "The Reaction of Insecticidal, Nitro-Compounds, and Pyridines in a Series of 2-Indolinones," <u>J. Med. Chem.</u> 6(5):626-631 (1963)			
DNW	A138	Weidner et al., "Tumor angiogenesis and metastasis-correlation in invasive breast carcinoma," <u>New England Journal of Medicine</u> 324(1):1-8(1991)			
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EXAMINER Sonya Wright			DATE CONSIDERED 11-25-02		
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